SEC Form 5

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL					
OMB Number: 3235-0362					
Estimated average burden					

1.0

hours per response:

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

\Box	Form 3 Holdings Reported
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X Form 4 Transactions Reported.

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

> Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<u>Cohen Sponsor Interests IV, LLC</u>	Perella Weinberg Partners [PWP]	(Check all applicable) Director X 10% Owner					
(Last) (First) (Middle) 2929 ARCH STREET, SUITE 1703	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2021	Officer (give title Other (specify below) below)					
(Street) PHILADELPHIA PA 19104 (City) (State) (Zip)	 4. If Amendment, Date of Original Filed (Month/Day/Year) 	6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

itle of Security (Instr. 3) Date (Month/Day/Year) 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 7. Nature of Indirect Beneficial Ownership (Instr. 4) Transaction Code (Instr. Securities Beneficially Execution Date, (D) (Instr. 3, 4 and 5) if any (Month/Day/Year) 8) Owned at end of Issuer's Fiscal Year (Instr. 3 and (A) or (D) Price Amount 4) By FinTech Masala 3,848,250 Class A Common Stock 08/09/2021 D4⁽²⁾ 658.196 D \$12 T Advisors IV, LLC⁽¹⁾ By FinTech Investor **D4**⁽²⁾ Class A Common Stock 08/09/2021 341,804 D **\$12** 2,608,417 T Holdings IV, LLC⁽¹⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			Derivative Expiration Date Securities (Month/Day/Year) Acquired (A) of (D) (Instr.		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Warrants	\$11.5	06/24/2021		A ⁽³⁾	203,333		09/29/2021	06/24/2026	Class A Common Stock	203,333	(3)	203,333	I	By FinTech Investor Holdings IV, LLC ⁽¹⁾

1. Name and Address of Reporting Person* Cohen Sponsor Interests IV, LLC

(Last)	Last) (First)				
2929 ARCH STRE	ET, SUITE 1703				
(Street) PHILADELPHIA	РА	19104			
(City)	(State)	(Zip)			
1. Name and Address o	f Reporting Person [*]				
<u>FinTech Masala</u>	. <u>, LLC</u>				
(Last)	(First)	(Middle)			
2929 ARCH STRE	ET, SUITE 1703				
(Street)					
PHILADELPHIA	PA	19104			
(City)	(State)	(Zip)			
1 Name and Address of	f Doporting Dorcon*				
1. Name and Address of Reporting Person*					
<u>FinTech Masala Holdings, LLC</u>					

(Last) 2929 ARCH STRE	(First) ET, SUITE 1703	(Middle)
(Street) PHILADELPHIA	РА	19104
(City)	(State)	(Zip)

Explanation of Responses:

1. Represents shares held directly by FinTech Investor Holdings IV, LLC ("Holdings"), and FinTech Masala Advisors IV, LLC ("Masala"), each of which is managed by Cohen Sponsor Interests IV, LLC ("Manager"). FinTech Masala, LLC ("Masala, LLC ("Masala LLC") is the sole member of Manager. FinTech Masala Holdings, LLC ("Masala Holdings") is the sole member of Masala LLC. As a result, each of Manager, Masala LLC, and Masala Holdings shares voting and investment power over the shares held directly by Holdings and Masala.

2. On August 9, 2021, the Issuer repurchased an aggregate of 1,000,000 shares of Class A common stock ("Shares"), including 658,196 Shares from Masala and 341,804 Shares from Holdings pursuant to Section 1.3(a) of that certain Sponsor Share Surrender and Share Restriction Agreement (the "Sponsor Share Surrender Agreement"), dated as of December 29, 2020 and amended as of May 4, 2021, by and among PWP Holdings LP, a Delaware limited partnership ("PWP"), the Issuer, Masala, Holdings and the other parties thereto.

3. The warrants to purchase shares of Class A Common Stock of the Issuer became exercisable on the one-year anniversary of the Issuer's initial public offering.

<u>/s/ Amanda Abrams, Attorney-</u> in-Fact 01/11/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.