SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Se	ction 30(h) of the In	estment Con	ipany Act of 1940				
1. Name and Address of Reporting Person* <u>McEntee James J III</u>				er Name and Ticke <u>lla Weinberg</u> [(Check	ationship of Reporting Person(s) to Issuer k all applicable) Director 10% Owner Officer (give title Other (specif		
(Last) 2929 ARC	(First) H STREET, SUITE	(Middle) 1703	3. Date 06/24	e of Earliest Transad /2021	tion (Month/D	ay/Year)	X	below)	below and Secretary	
(Street) PHILADE	LPHIA PA (State)	19104 (Zip)	4. If An	nendment, Date of (Driginal Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/Group Form filed by One Form filed by Mon Person	e Reporting Pers	on
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
	Code V Amount (A) or (D) Price		Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)				
Class A Common Stock	06/24/2021		M ⁽³⁾		204,562	А	\$0.00	204,562	Ι	By FinTech Masala Advisors IV, LLC ⁽⁴⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Deri Sec Acq or D of (I	umber of vative urities uired (A) isposed D) (Instr. and 5)	6. Date Exerc Expiration D (Month/Day/\	ate	nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Class B Common Stock	(1)(2)	06/24/2021		D			32,507	(1)(2)	(1)(2)	Class A Common Stock	32,507	\$0.00	217,493	I	By FinTech Masala Advisors IV, LLC ⁽⁴⁾
Class B Common Stock	(5)	06/24/2021		S ⁽⁵⁾			12,931	(5)	(5)	Class A Common Stock	12,931	\$0.01	204,562	I	By FinTech Masala Advisors IV, LLC ⁽⁴⁾
Class B Common Stock	(3)	06/24/2021		М			204,562	(3)	(3)	Class A Common Stock	204,562	(3)	0	I	By FinTech Masala Advisors IV, LLC ⁽⁴⁾

Explanation of Responses:

1. On June 24, 2021 (the "Closing Date"), Perella Weinberg Partners (f/k/a FinTech Acquisition Corp. IV) (the "Issuer") completed the business combination (the "Business Combination") contemplated by that certain Business Combination Agreement, dated as of December 29, 2020, by and among the Issuer, FinTech Investor Holdings IV, LLC ("Holdings"), FinTech Masala Advisors, LLC ("Masala"), PWP Holdings LP ("PWP OpCo"), PWP GP LLC, PWP Professional Partners LP, and Perella Weinberg Partners LLC.

2. (Continued from Footnote 1) In connection with the Business Combination, on the Closing Date, upon consummation of the Business Combination, each of the Issuer's outstanding shares of Class B Common Stock automatically converted into one share of Class A Common Stock. However, pursuant to that certain Sponsor Share Surrender And Share Restriction Agreement, dated as of December 29, 2020, by and among the Issuer, Holdings, Masala, and PWP OpCo, as amended, concurrent with the consummation of the Business Combination, these shares of Class B Common Stock were forfeited to the Issuer immediately prior to the Business Combination.

3. In connection with the Business Combination, on the Closing Date, each of the Issuer's outstanding shares of Class B Common Stock automatically converted into one share of Class A Common Stock.

4. Represents shares held directly by Masala. JMcEntee FinTech IV Partners and Swarthmore Trust of 2016 are members of Masala. The reporting person disclaims beneficial ownership of these securities, except to the extent of his pecuniary interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for any other purpose.

5. Represents shares transferred to other members of Holdings and Masala pursuant to certain side letters by and among certain members of Holdings and Masala.

Remarks:

/s/ Amanda Abrams, Attorney-	06/28/2021
<u>in-Fact</u>	00/20/2021
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.